



Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

BANK GUTMANN AG

Name of Transferee

Name and Address where notices
to transferee should be sent:

Bank Gutmann Aktiengesellschaft
Schwarzenbergplatz 16
1010 Vienna, Austria
attn. WP



Phone: Phone: +43-1-502 20-137

Last Four Digits of Acct #: _____

Name and Address where transferee
payments should be sent (if different
from above):

Phone: _____

Last Four Digits of Acct #: _____

BANK VONTOBEL AG

Name of Transferor

Court Claim # (if known): 67373

Date Claim Filed: November 02, 2009

Amount of Claim: EUR 90,000.00

Portion of Claim Transferred (see

Schedule I): _____

Phone: Phone: +43-1-502 20-137

Last Four Digits of Acct. #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee/Transferee's Agent

Date: December 07, 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

PRIVATE BANKERS

BANK GUTMANN AKTIENGESELLSCHAFT
SCHWARZENBERGPLATZ 16 • A-1010 WIEN
TEL. +43 • 1 • 502 20-0 • DVR-NR. 0028533
SITZ WIEN AFN 76445K • HANDELSGERICHT WIEN
MAIL@GUTMANN.AT • WWW.GUTMANN.AT

DEC 12 2012

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **BANK VONTOBEL AG** ("Transferor") unconditionally and irrevocably transferred to **BANK GUTMANN AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 67373**) **EUR 90'000** in nominal value related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON November 12, 2012.

Bank Vontobel AG

By: T. Schwerzmann
Name: Thomas Schwerzmann
Title: Director

By: A. Sieber
Name: Andrea Sieber
Title: Authorized Officer

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim related to Security
XS0229269856	67373	November 02.2009	Lehman Brothers UK Capital Fundling II LP	EUR 90'000